

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

jc860 U.S. PTO



Attorney Docket No. 205742US6

First Inventor or Application Identifier Hiroyuki TAKEMOTO, et al.

Title OPTICAL DISC AND OPTICAL DISC DRIVE APPARATUS

Assignee Name: SONY CORPORATION

Assignee Address: 7-35, KITASHINAGAWA 6-CHOME, SHINAGAWA-KU, TOKYO, JAPAN

114683371
04/13/01

04/13/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Specification Total Sheets 19

3. Drawing(s) (35 U.S.C. 113) Total Sheets 5
(Formals)

4. Oath or Declaration Total Pages

a. Newly executed (original or copy)

b. Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation / divisional w/ box 17 completed)

i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in
the prior application, see 37 C.F.R. §1.63(e)(2) and
1.33(b).

5. CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

a. Computer Readable Form (CRF)

b. Specification or Sequence Listing on:

i. CD-ROM or CD-R (2 copies); or

ii. Paper

c. Statements verifying identity of above copies

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))

8. Application Data Sheet. See 37 CFR 1.76

9. 37 C.F.R. §3.73(b) Statement Power of Attorney
(where there is an assignee)

10. English Translation Document (if applicable)

11. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

12. Preliminary Amendment

13. White Advance Serial No. Postcard

14. Certified Copy of Priority Document(s) (1)
(if foreign priority is claimed)

15. Applicant claims small entity status.
See 37 CFR 1.27

16. Other: Notice of Priority, List of Inventors' Names and Addresses

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

Continuation Divisional Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

This application is a Continuation Division Continuation-in-part (CIP)
of application Serial No. Filed on

Which was published in English

Which was not published in English

This application claims priority of provisional application Serial No.

Filed

19. CORRESPONDENCE ADDRESS



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|------------|---------------------|-------------------|---------|
| Name: | Gregory J. Maier | Registration No.: | 25,599 |
| Signature: | | Date: | 4/13/01 |
| Name: | C. Irvin McClelland | Registration No.: | |

Registration Number 21,124

Docket No. 205742US6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Hiroyuki TAKEMOTO, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: OPTICAL DISC AND OPTICAL DISC DRIVE APPARATUS

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

| FOR | NUMBER FILED | NUMBER EXTRA | RATE | CALCULATIONS |
|--|--------------|--------------|-----------|---|
| TOTAL CLAIMS | 9 - 20 = 0 | | × \$18 = | \$0.00 |
| INDEPENDENT CLAIMS | 2 - 3 = 0 | | × \$80 = | \$0.00 |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable) | | | + \$270 = | \$0.00 |
| <input checked="" type="checkbox"/> LATE FILING OF DECLARATION | | | + \$130 = | \$130.00 |
| | | | | BASIC FEE \$710.00 |
| | | | | TOTAL OF ABOVE CALCULATIONS \$840.00 |
| <input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY | | | | \$0.00 |
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A check in the amount of \$840.00 to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.
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Respectfully Submitted,

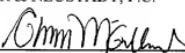
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